IN THE UNITED STATES PATENT & TRADEMARK OFFICE

Applicant	:	V. K. JaiPrakash, et al.)	Examinér:
Serial No.	:	ТВА)	TBA
Filed	:	Filed Herewith)	Art Unit Unknown
For	:	DRAM VERTICAL TRANSISTOR AND TRENCHCAPACITOR MEMORY CELLS AND METHOD OF FABRICATION)))	Chrhown

INFORMATION DISCLOSURE STATEMENT

Mail Stop Patent Application **Commissioner For Patents** P. O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR §1.97 - §1.98, Applicants hereby bring to the attention of the United States Patent and Trademark Office, the references listed on the attached Form PTO-1449. Copies are not included because the cited references were cited by the USPTO in the parent application identified on page 1 of the specification.

Respectfully submitted,

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Subs	stitute for form 1449A/F	то	· -	Complete if Known			
				Application Number	ТВА		
IN	FORMATIO	N DIS	CLOSURE	Filing Date	Filed Herewith		
STATEMENT BY APPLICANT			PPLICANT	First Named Inventor	V. C. JaiPrakash		
				Art Unit	TBA		
(use as many sheets as necessary)			necessary)	Examiner Name	TBA		
Shee	t 1	of	2	Attorney Docket Number	03 P 52507 US (90035.211)		

			U.S. PAT	ENT DOCUMENTS			
Examiner Initials*	Cite No.1	Document Number Number Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
	1	US- 5119153	06-02-1992	Korman, et al.			
	2	US- 5225697	07-06-1993	Malhi, et al.			
	3	US- 6153902	11-28-2000	Furukawa, et al.			
	4	US- 6281539	08-28-2001				
	5	US- 6333533	12-25-2001	Furukawa, et al.			
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		1		FOR	EIGN PATENT DOC	UMENTS		
Examiner	Cite		Foreign Patent Do	cument	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant	
Initials No.1	Office ³	Number4	Kind ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	Passages or Relevant Figures Appear	T ⁶	
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Examiner	Date	
Signature	Considered	

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449B/PTO			Complete if Known			
			Application Number	ТВА		
INFO	DRMATION	DISCLOSURE	Filing Date	Filed Herewith		
STATEMENT BY APPLICANT			First Named Inventor	V. C. JaiPrakash		
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	(use as many she	ets as necessary)	Examiner Name	ТВА		
Sheet	C	of	Attorney Docket Number	03 P 52507 US (90035.211)		

OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS									
Examiner Initials*	Cite No. ¹								
	6	Article entitled "A Novel Trench DRAM Cell with a VERTICAL Access Transistor And BuriEd STrap (VERI BEST) for 4 Gb/16Gb by U. Gruening et al., IEDM (IEEE), 1999.							
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.